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UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/920,880	08/02/2001	George H. Butcher III	7056.015 CIP

25546  
DREIER & BARITZ LLP  
499 PARK AVENUE  
20TH FLOOR  
NEW YORK, NY 10022

CONFIRMATION NO. 5201

FORMALITIES LETTER



\*OC00000006519787\*

Date Mailed: 09/06/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

01/16/2002 MBT2002 00000013 09920880

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✓ See \$

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

*O I P E*  
*JAN 10 2002*  
*FILED*  
*ATTY. DOCKET*  
*TITLE*  
*PATENT & TRADEMARK OFFICE*

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APPLICANT : George H. Butcher, III      COPY OF PAPERS  
SERIAL NO. : 09/920,880      ORIGINALLY FILED  
FILED : August 2, 2001  
ATTY. DOCKET : 7056.015 CIP  
TITLE : A METHOD FOR STRUCTURING A STATE REVOLVING FUND BOND PROGRAM

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**REGULAR MAIL CERTIFICATE**

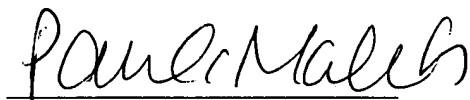
Date of Deposit: November 2, 2001

I hereby certify that the following attached paper(s) and/or fee

- (1) A Copy of the Notice to File Missing Parts of Application;
- (2) An Executed Declaration for Patent Application;;
- (3) A credit card payment form in the amount of \$130.00 (missing parts surcharge);
- (4) A self-addressed stamped postcard, return of which is requested to acknowledge receipt of the enclosed documents.

by depositing same in a postage prepaid envelope in an official depository under the exclusive care and custody of the United States Postal Service under 37 C.F.R. Section 1.10 on the date indicated above and is addressed to the "Assistant Commissioner for Patents, Box Missing Parts, Washington, D.C. 20231"

Respectfully submitted,  
**DREIER & BARITZ LLP**

  
Paula M. Maleh

Dated: November 2, 2001

CORRESPONDENCE:

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